

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		PTO/SB/05 (06-03) U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE	
(Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.	018563-001140US / AT-00014.3
		First Inventor	PHAN, LOC X.
		Title	ATTACHMENT DEVICES AND METHODS FOR A DENTAL APPLIANCE
		Express Mail Label No.	EV 348063714US

17497 U.S. PAT. 10/660857  
 09/12/03

APPLICATION ELEMENTS	ADDRESS TO
See MPEP chapter 600 concerning design patent application contents.	Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i> 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification w/title page [Total Pages 22 ] <i>(preferred arrangement set forth below)</i> - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings ( if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) FORMAL(35 U.S.C.113) [Total 14 ] 5. Oath or Declaration [Total Pages 1 ] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for a continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <i>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</i> 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i> 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper number of pages c. <input type="checkbox"/> Statements verifying identity of above copies <b>ACCOMPANYING APPLICATIONS PARTS</b> 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 17. <input type="checkbox"/> Other:

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation   
 ☐ Divisional   
 ☐ Continuation-in-part (CIP)   
 of prior application No: 10/040,269  
 Prior application information:    Examiner: \_\_\_\_\_    Art Unit: 3732

For **CONTINUATION or DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number		<b>20350</b>		OR <input type="checkbox"/> Correspondence address below	
Name					
Address					
City	State	Zip Code			
Country	Telephone	Fax			

Name (Print/Type)	Lynn M. Thompson	Registration No. (Attorney/Agent)	47,991
Signature			Date September 12, 2003

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 750

## Complete if Known

Application Number	
Filing Date	September 12, 2003
First Named Inventor	PHAN, LOC X.
Examiner Name	Unassigned
Art Unit	Unassigned
Attorney Docket No.	018563-001140US / AT-00014.3

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit Card ☐ MoneyOrder ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 20-1430

Deposit Account Name: Townsend and Townsend and Crew LLP

**The Commissioner is authorized to: (check all that apply)**

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION				
1. BASIC FILING FEE				
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
		1001	750	Utility filing fee
		1002	330	Design filing fee
		1003	520	Plant filing fee
		1004	750	Reissue filing fee
		1005	160	Provisional filing fee
SUBTOTAL (1)				(\$)750

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims: 17 -20\*\* = 0 Extra Claims: 0 -3\*\* = 0

Independent Claims: 1 -3\*\* = 0

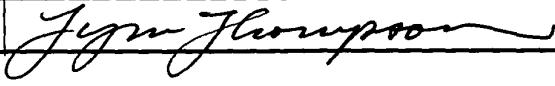
Multiple Dependent: 0

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
		1202	18	Claims in excess of 20
		1201	84	Independent claims in excess of 3
		1203	280	Multiple dependent claim, if not paid
		1204	84	** Reissue independent claims over original patent
		1205	18	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				(\$)0

\*\*or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES					Fee Description	Fee Paid
Large	Entity	Small	Entity	Fee Code		
				1051	130	2051 65 Surcharge - late filing fee or oath
				1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet.
				1053	130	1053 130 Non-English specification
				1812	2,520	1812 2,520 For filing a request for reexamination
				1804	920*	1804 920* Requesting publication of SIR prior to Examiner action
				1805	1,840*	1805 1,840* Requesting publication of SIR after Examiner action
				1251	110	2251 55 Extension for reply within first month
				1252	410	2252 205 Extension for reply within second month
				1253	930	2253 465 Extension for reply within third month
				1254	1,450	2254 725 Extension for reply within fourth month
				1255	1,970	2255 985 Extension for reply within fifth month
				1401	320	2401 160 Notice of Appeal
				1402	320	2402 160 Filing a brief in support of an appeal
				1403	280	2403 140 Request for oral hearing
				1451	1,510	1451 1,510 Petition to institute a public use proceeding
				1452	110	2452 55 Petition to revive - unavoidable
				1453	1,300	2453 650 Petition to revive - unintentional
				1501	1,300	2501 650 Utility issue fee (or reissue)
				1502	470	2502 235 Design issue fee
				1503	630	2503 315 Plant issue fee
				1460	130	1460 130 Petitions to the Commissioner
				1807	50	1807 50 Petitions related to provisional applications
				1806	180	1806 180 Submission of Information Disclosure Stmt
				8021	40	8021 40 Recording each patent assignment per property (times number of properties)
				1809	750	2809 375 Filing a submission after final rejection (37 CFR § 1.129(a))
				1810	750	2810 375 For each additional invention to be examined (37 CFR § 1.129(b))
				1801	750	2801 375 Request for Continued Examination (RCE)
				1802	900	1802 900 Request for expedited examination of a design application
Other fee (specify) _____						
*Reduced by Basic Filing Fee Paid						
SUBTOTAL (3)						(\$)

## SUBMITTED BY

Name (Print/Type)	Lynn M. Thompson	Registration No. (Attorney/Agent)	47,991	Telephone	650-326-2400
Signature				Date	September 12, 2003